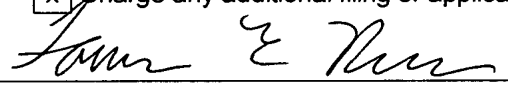
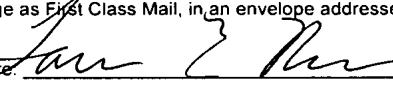
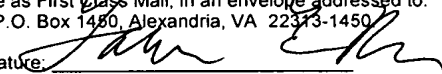




3621 IFW

| AMENDMENT TRANSMITTAL LETTER                                                                                                                                                                                                                                                                                                                         |                                           |                                                                                                                   |                                   | Docket No.<br>SONY 3.0-020 |      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------|----------------------------|------|
| Application No.<br>10/014,112-Conf. #3954                                                                                                                                                                                                                                                                                                            | Filing Date<br>December 11, 2001          | Examiner<br>C. L. Hewitt                                                                                          | Art Unit<br>3621                  |                            |      |
| Applicant(s): Harold A. Ludtke and L. Michael Maritzen                                                                                                                                                                                                                                                                                               |                                           |                                                                                                                   |                                   |                            |      |
| Invention: SYSTEM AND METHOD FOR CONDUCTING SECURE TRANSACTION OVER A NETWORK                                                                                                                                                                                                                                                                        |                                           |                                                                                                                   |                                   |                            |      |
| <b>TO THE COMMISSIONER FOR PATENTS</b>                                                                                                                                                                                                                                                                                                               |                                           |                                                                                                                   |                                   |                            |      |
| Transmitted herewith is an amendment in the above-identified application.                                                                                                                                                                                                                                                                            |                                           |                                                                                                                   |                                   |                            |      |
| The fee has been calculated and is transmitted as shown below.                                                                                                                                                                                                                                                                                       |                                           |                                                                                                                   |                                   |                            |      |
| <b>CLAIMS AS AMENDED</b>                                                                                                                                                                                                                                                                                                                             |                                           |                                                                                                                   |                                   |                            |      |
|                                                                                                                                                                                                                                                                                                                                                      | Claims<br>Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid                                                                           | Number<br>Extra Claims<br>Present | Rate                       |      |
| <b>Total Claims</b>                                                                                                                                                                                                                                                                                                                                  | 21                                        | - 108 =                                                                                                           | 0                                 | x 50.00                    | 0.00 |
| <b>Independent Claims</b>                                                                                                                                                                                                                                                                                                                            | 5                                         | - 12 =                                                                                                            | 0                                 | x 200.00                   | 0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>                                                                                                                                                                                                                                                                             |                                           |                                                                                                                   |                                   |                            |      |
| Other fee (please specify):                                                                                                                                                                                                                                                                                                                          |                                           |                                                                                                                   |                                   |                            |      |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>                                                                                                                                                                                                                                                                                                      |                                           |                                                                                                                   |                                   |                            | 0.00 |
| <input checked="" type="checkbox"/> Large Entity <span style="margin-left: 200px;"><input type="checkbox"/> Small Entity</span>                                                                                                                                                                                                                      |                                           |                                                                                                                   |                                   |                            |      |
| <input checked="" type="checkbox"/> No additional fee is required for this amendment.                                                                                                                                                                                                                                                                |                                           |                                                                                                                   |                                   |                            |      |
| <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____.<br>A duplicate copy of this sheet is enclosed.                                                                                                                                                                                                           |                                           |                                                                                                                   |                                   |                            |      |
| <input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.                                                                                                                                                                                                                                                      |                                           |                                                                                                                   |                                   |                            |      |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.                                                                                                                                                                                                                                                                          |                                           |                                                                                                                   |                                   |                            |      |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>12-1095</u><br>as described below. A duplicate copy of this sheet is enclosed.                                                                                                                                                     |                                           |                                                                                                                   |                                   |                            |      |
| <input checked="" type="checkbox"/> Credit any overpayment.                                                                                                                                                                                                                                                                                          |                                           |                                                                                                                   |                                   |                            |      |
| <input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.                                                                                                                                                                                                                 |                                           |                                                                                                                   |                                   |                            |      |
| <br>Lawrence E. Russ<br>Attorney/Agent Reg. No.: 35,342                                                                                                                                                                                                           |                                           |                                                                                                                   |                                   | Dated: <u>May 18, 2007</u> |      |
| LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP<br>600 South Avenue West<br>Westfield, New Jersey 07090<br>(908) 518-6309                                                                                                                                                                                                                         |                                           |                                                                                                                   |                                   |                            |      |
| <small>I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</small> |                                           |                                                                                                                   |                                   |                            |      |
| Dated: May 18, 2007                                                                                                                                                                                                                                                                                                                                  |                                           | Signature:  (Lawrence E. Russ) |                                   |                            |      |

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Dated: May 18, 2007 Signature: 

(Lawrence E. Russ)

Docket No.: SONY 3.0-020  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:  
Ludtke et al.

Application No.: 10/014,112

Filed: December 11, 2001

For: SYSTEM AND METHOD FOR CONDUCTING  
SECURE TRANSACTION OVER A  
NETWORK

:  
:  
:  
:  
: Group Art Unit: 3621  
:  
: Examiner: C. L. Hewitt  
:  
:  
:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT

Dear Sir:

In response to the official action mailed March 22, 2007, applicant submits the following amendments and remarks.